## JC10 Rec'd PCT/PTO 1 4 MAR 2002

FORM PTO-1390 U.S.DEPARTME	ENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER							
TRANSMITTAL LETTER TO THE UNITED STATES		NSG-211US							
DESIGNATED/ELECTE		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)							
CONCERNING A FILING	G UNDER 35 U.S.C. 371	To Be Asign of 088268							
INTERNATIONAL APPLICATION NO. PCT/JP01/06121	INTERNATIONAL FILING DATE 16 July 2001 (16.07.01)	PRIORITY DATE CLAIMED 18 April 2001 (18.04.01)							
	10 July 2001 (10.07.01)	18 April 2001 (18.04.01) 18 July 2000 (18.07.00)							
TITLE OF INVENTION LIGHT-RECEIVING ELEMENT AR	DAV								
APPLICANT(S) FOR DO/EO/US	KAY								
Nobuyuki KOMABA, Takashi TAGA	Nobuyuki KOMABA, Takashi TAGAMI, Yasunori ARIMA and Yukihisa KUSUDA								
Applicant herewith submits to the united States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.									
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
3. This is an express request to must include items (5), (6), (	begin national examination procedure (9) and (21) indicated below.	s (35 U.S.C. 371(f)). The submission							
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).									
	Application as filed (35 U.S.C. 371(c)(								
a. is attached hereto (req	quired only if not communicated by the	: International Bureau).							
<ul> <li>b.  has been communicated by the International Bureau.</li> <li>c.  is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>									
1	tion of the International Application as	•							
<ul> <li>a.  is attached hereto.</li> <li>b.  has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>									
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. 🔲 are attached hereto (re	a. are attached hereto (required only if not communicated by the International Bureau).								
b. have been communicated by the International Bureau.									
<ul> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul>									
9. An oath or declaration of the	inventor(s) (35 U.S.C. 371(c)(4)).								
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern documents(s) or information included:									
11. An Information Disclosure St	tatement under 37 U.S.C. 1.97 and 1.98								
12. An assignment document for rec	ording. A separate cover sheet in compliar	nce with 37 CFR 3.28 and 3.31 is included.							
	· · · · · · · · · · · · · · · · · · ·								
14. A SECOND or SUBSEQUEN	14. A SECOND or SUBSEQUENT preliminary amendment.								
15. A substitute specification.									
16. A change of power of attorne	16. A change of power of attorney and/or address letter.								
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).									
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
	20.  Other items or information: Certificate of Mailing by Express Mail and Request								
		•							
		•							

## JC10 Rec'd PCT/PTO 1 4 MAR 2002

U.S. APPLICATION NO. (If known, To Be Assigned		INTERNATIONAL PCT/JP01/0	ATION NO.	ATTORNEY DOCKET NUMBER NSG-211US				
21. The follow	he following fees are submitted:				CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00								
USPTO but International Search Report prepared by the EPO or JPO \$890.00								
international search fee (37 CFR 1.445(a)(2)) paid to USPTO								
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00								
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)					<b>1</b> 000	.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 890	.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$			
CLAIMS	NUMBER FILED	EXTRA NUMB	ER	RATE				
Total claims	28-20=	8		X \$18.00	\$144.0			
Independent claims  MULTIPLE DEPENDEN	4-3 =	1		X \$84.00	\$ 84.0			
MOLTH LE BEFENDEN				+ \$280.00	\$280.0			
<del></del>				ULATIONS =	\$1398	3.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				ed above	\$			
				UBTOTAL =	\$1398	3.00		
Processing fee of \$130.00 for furnishing the English translation later than 20 30 Months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$		į		
		TOTAL	NATI	ONAL FEE =	\$1398	3.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$40.0	0		
TOTAL FEES ENCLOSED =				\$1438	3.00			
						Amount to be refunded:	1 4	
						Charged:		
<ul> <li>a.</li></ul>								
A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment.								
to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed.								
<ul> <li>d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> </ul>								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
CORRESPONDENCE ADDRESS								
☑ Customer Number or Bar Code Label  (Insert Customer Number of Bar Code Label (Insert Customer Number of Bar Code Label Number of Bar Code Label Number of Bar Code Label (Insert Customer Number of Bar Code Label Number of Bar Code Label Number of Bar Code Label (Insert Customer Number of Bar Code Label (Insert Customer Number of Bar Code Label (Insert Customer Number of Bar Code Label Number								
Name 23122								
Address PATENT TRADEMARK OFFICE								
City		Star	 to	<u> </u>	7.	- 0- 4-		
Country		Telephone			21	P Code Fax		
Name (Print/Type)	Kenneth N. Nigon		Reg	gistration No. (Atto	mey/Ag	<u> </u>	31,549	
Signature	Signature Oliver Con					Date	March 14, 2002	